

1. Name of Listed Entity: Cartrade Tech Limited
2. Scrip Code/Name of Scrip/Class of Security: CARTRADE
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2021
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)									
			As a % of (A+B+C2)		No of Voting Rights			Total as a % of (A+B+C)	Warrants (X)	(XI) = (VII)+(X)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)												
			Class eg: X	Class eg: y	Total	Class eg: X	Class eg: y										Total										
																		As a % of (A+B+C2)									
			As a % of (A+B+C2)		No of Voting Rights			Total as a % of (A+B+C)	Warrants (X)	(XI) = (VII)+(X)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)												
A	Promoter & Promoter Group	0	0	0	0	0	0										0	0	0	0	0	0	0	0	0	0	0
B	Public	524305	45834067	0	0	45834067	100										45834067	0	45834067	100	0	100	27166851	59.27			45723617
C	Non Promoter-Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0									
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0									
C2	Shares held by Employee Trusts	0	0	0	0	0		0	0	0	0	0		0	0			0									
	Total	524305	45834067	0	0	45834067	100	45834067	0	45834067	100	0	100	27166851	59.27	0	0	45723617									

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0			0	
a	Mutual Funds/		10	2230533	0	0	2230533	4.87	2230533	0	2230533	4.87	0	4.87	0	0			2230533
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		10	1048226	0	0	1048226	2.29	1048226	0	1048226	2.29	0	2.29	650282	62.04			1048226
	IIFL Special Opportunities Fund - Series 8	AAB TI521 0M	1	561389	0	0	561389	1.22	561389	0	561389	1.22	0	1.22	544740	97.03			561389
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		70	7347414	0	0	7347414	16.03	7347414	0	7347414	16.03	0	16.03	0	0			7347414
	Kuwait Investment Authority Fund 225	AAA TK38 58Q	1	879809	0	0	879809	1.92	879809	0	879809	1.92	0	1.92	0	0			879809
	Goldman Sachs Funds	AAG CG98	1	513227	0	0	513227	1.12	513227	0	513227	1.12	0	1.12	0	0			513227

Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held $\text{VII} = \text{IV} + \text{V} + \text{VI}$	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
	87L																	
American Funds Insurance Series	AAA TA57 08K	1	463527	0	0	463527	1.01	463527	0	463527	1.01	0	1.01	0	0			463527
f Financial Institutions/ Banks		3	181195	0	0	181195	0.4	181195	0	181195	0.4	0	0.4	0	0			181195
g Insurance Companies		4	290025	0	0	290025	0.63	290025	0	290025	0.63	0	0.63	0	0			290025
h Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Sub-Total (B)(1)		97	11097393	0	0	11097393	24.21	11097393	0	11097393	24.21	0	24.21	650282	5.86			11097393
2 Central Government/ State Government(s) / President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3 Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held $\text{VII} = \text{IV} + \text{V} + \text{VI}$	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
	institutions																		
a	Individuals -		508423	8333619	0	0	8333619	18.18	8333619	0	833	18.18	0	18.18	1643294	19.72			8333619
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		508410	6503925	0	0	6503925	14.19	6503925	0	650	14.19	0	14.19	0	0			6503925
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	1829694	0	0	1829694	3.99	1829694	0	182	3.99	0	3.99	1643294	89.81			1829694
	Vinay Sanghi	AAFP1S8872F		980763	0	0	980763	2.14	980763	0	980	2.14	0	2.14	980763	100			980763
b	NBFCs registered with RBI		2	680	0	0	680	0	680	0	680	0	0	0	0	0			680
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held $\text{VII} = \text{IV} + \text{V} + \text{VI}$	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
(balancing figure)																		
e Any Other (specify)		15783	26402375	0	0	26402375	57.6	26402375	0	26402375	57.6	0	57.6	24873275	94.21			26291925
Bodies Corporate		380	570915	0	0	570915	1.25	570915	0	570915	1.25	0	1.25	15200	2.66			570915
Clearing Members		166	245868	0	0	245868	0.54	245868	0	245868	0.54	0	0.54	0	0			245868
Foreign Nationals		2	322576	0	0	322576	0.7	322576	0	322576	0.7	0	0.7	322576	100			322576
HUF		13758	290440	0	0	290440	0.63	290440	0	290440	0.63	0	0.63	0	0			290440
LLP		61	340642	0	0	340642	0.74	340642	0	340642	0.74	0	0.74	34800	10.22			340642
Non-Resident Indian (NRI)		415	12513	0	0	12513	0.03	12513	0	12513	0.03	0	0.03	0	0			12513
Non-Resident Indian (NRI)		988	144132	0	0	144132	0.31	144132	0	144132	0.31	0	0.31	25450	17.66			33682
Overseas Corporate Bodies		12	24475249	0	0	24475249	53.4	24475249	0	24475249	53.4	0	53.4	24475249	100			24475249
Highdell Investment Ltd	AAC CH9572R	1	8141574	0	0	8141574	17.76	8141574	0	8141574	17.76	0	17.76	8141574	100			8141574
Macritchie Investments Pte Ltd	AAF CM1240G	1	7649216	0	0	7649216	16.69	7649216	0	7649216	16.69	0	16.69	7649216	100			7649216

Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
CMDB II	AAFCC9742B	1	346810	0	0	3468156	7.57	346810	3468156	7.57	0	7.57	3468156	100			3468156	
Springfield Venture International	AAVCS9255R	1	164310	0	0	1643107	3.58	1643107	1643107	3.58	0	3.58	1643107	100			1643107	
MCP3 SPV LLC	AANCM0977G	1	129900	0	0	1299075	2.83	1299075	1299075	2.83	0	2.83	1299075	100			1299075	
Malabar India Fund Limited	AAF CM6589C	1	580480	0	0	580485	1.27	580480	580485	1.27	0	1.27	580485	100			580485	
Trusts		1	40	0	0	40	0	40	40	0	0	0	0	0			40	
Sub-Total (B)(3)			524208	347360	0	34736674	75.79	347360674	34736674	75.79	0	75.79	265165694	76.34			34626224	
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)			524305	45834067	0	45834067	100	45834067	45834067	100	0	100	271668517	59.27			45723617	

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
1 Custodian/DR Holder		0	0	0	0	0		0	0	0	0	0	0	0			0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0		0	0	0	0	0	0	0			0
Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0		0	0	0	0	0	0	0			0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
I	Charles Kaye		673740442	United States of America	17.76	0	0			
A	HIGHDELL INVESTMENT LIMITED	AACCH9572R		Mauritius	17.76			No	No	27-Aug-2021

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held
1	9

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %