

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

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|---|-------------------|
| 1. Name of Listed Entity: Cartrade Tech Limited | POST ISSUE |
| 2. Scrip Code/Name of Scrip/Class of Security: Equity Shares | 16.08.2021 |
| 3. Share Holding Pattern Filed under: Reg. 31(1)(a) | |
| 4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information: | |

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares?		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?	YES	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO
f	Whether company has equity shares with differential voting rights?		NO
g	Whether the listed entity has any significant beneficial owner?		NO

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:**

Introductory sub-table (I)(a) -

POST ISSUE

Name of the Company: Cartrade Tech Limited

Scrip Code, Name of the scrip, class of security : EQUITY

As on : 16.08.2021

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class: Equity Shares	Class: NIL	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)				(X)	(XI)=(VII)+(X)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(B)	Public	723177	45834067	0	0	45834067	100.00	45834067	0	45834067	100.00	0	100.00	32726515	71.40	NA	NA	45723617
(C)	Non Promoter- Non Public	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total	723177	45834067	0	0	45834067	100.00	45834067	0	45834067	100.00	0	100.00	32726515	71.40	0	0.00	45723617

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	Entity type i.e. promoter OR promoter group entity (except promoter)	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class: Equity Shares	Class: NIL									Total
(I)		(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)		
(1) Indian																		
(a) Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub-Total (A)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

(2) Foreign																			
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
(f) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	No. of shareholders	No. of fully paid up equity shares held	Partly paid up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
							No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
							Class: Equity Shares	Class: NIL									Total
(I)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)		
(1) Institutions																	
(a) Mutual Funds/	12	2125172	0	0	2125172	4.64	2125172	0	2125172	4.64	0	4.64	1853922	87.24	NA		2125172
(b) Venture Capital Funds	1	4328	0	0	4328	0.01	4328	0	4328	0.01	0	0.01	0	0.00	NA		4328
(c) Alternate Investment Funds	12	1033498	0	0	1033498	2.25	1033498	0	1033498	2.25	0	2.25	983718	95.18	NA		1033498
lilf Special Opportunities Fund - Series 8		561389	0	0	561389	1.22	561389	0	561389	1.22	0	1.22	544740	97.03	NA		561389
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(e) Foreign Portfolio Investors	55	5165232	0	0	5165232	11.27	5165232	0	5165232	11.27	0	11.27	3182523	61.61	NA		5165232
American Funds Insurance Series Global Small Capitalization Fund		463527	0	0	463527	1.01	463527	0	463527	1.01	0	1.01	463527	100.00	NA		463527
(f) Financial Institutions/ Banks	17	990607	0	0	990607	2.16	990607	0	990607	2.16	0	2.16	0	0.00	NA		990607
(g) Insurance Companies	8	246646	0	0	246646	0.54	246646	0	246646	0.54	0	0.54	189783	76.95	NA		246646
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
(i) Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0
Sub-Total (B)(1)	105	9565483	0	0	9565483	20.87	9565483	0	9565483	20.87	0	20.87	6209946	64.92	NA		9565483
(2) Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0

	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(3)	Non-institutions																
	Individuals																
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	721564	8252476	0	0	8252476	18.01	8252476	0	8252476	18.01	0	18.01	100	0.00	NA	8252376
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	24	2159937	0	0	2159937	4.71	2159937	0	2159937	4.71	0	4.71	1643294	76.08	NA	2159937
	Vinay Vinod Sanghi		980763	0	0	980763	2.14	980763	0	980763	2.14	0	2.14	980763	100.00	NA	980763
(b)	NBFCs registered with RBI	13	351586	0	0	351586	0.77	351586	0	351586	0.77	0	0.77	0	0.00	NA	351586
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e)	Any Other (specify)	1471	25504585	0	0	25504585	55.65	25504585	0	25504585	55.65	0	55.65	24873175	97.52	NA	25394235
1	Foreign Company	12	24475249	0	0	24475249	53.40	24475249	0	24475249	53.40	0	53.40	24475249	100.00	NA	24475249
	Highdell Investment Ltd		8141574	0	0	8141574	17.76	8141574	0	8141574	17.76	0	17.76	8141574	100.00	NA	8141574
	Macritchie Investments Pte Ltd		7649216	0	0	7649216	16.69	7649216	0	7649216	16.69	0	16.69	7649216	100.00	NA	7649216
	Cmdb li		3468156	0	0	3468156	7.57	3468156	0	3468156	7.57	0	7.57	3468156	100.00	NA	3468156
	Springfield Venture International		1643107	0	0	1643107	3.58	1643107	0	1643107	3.58	0	3.58	1643107	100.00	NA	1643107
	Mcp3 Spv Llc		1299075	0	0	1299075	2.83	1299075	0	1299075	2.83	0	2.83	1299075	100.00	NA	1299075
	Malabar India Fund Limited		580485	0	0	580485	1.27	580485	0	580485	1.27	0	1.27	580485	100.00	NA	580485
2	Bodies Corporates	156	580245	0	0	580245	1.27	580245	0	580245	1.27	0	1.27	50000	8.62	NA	580245
3	Foreign Nationals	2	322576	0	0	322576	0.70	322576	0	322576	0.70	0	0.70	322576	100.00	NA	322576
4	NRI - Repat	893	120683	0	0	120683	0.26	120683	0	120683	0.26	0	0.26	25350	21.01	NA	10333
5	NRI - Non Repat	408	5832	0	0	5832	0.01	5832	0	5832	0.01	0	0.01	0	0.00	NA	5832
	Sub-Total (B)(3)	723072	36268584	0	0	36268584	79.13	36268584	0	36268584	79.13	0	79.13	26516569	73.11	NA	36158134
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	723177	45834067	0	0	45834067	100.00	45834067	0	45834067	100.00	0	100.00	32726515	71.40	NA	45723617

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class: Equity Shares	Class: NIL									Total
	(I)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder	0			0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(a)	Name of DR Holder (if available)																	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(a)																		
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table V - Statement showing details of Significant Beneficial Owners (SBOs)

Sr. No	Details of the SBO (I)		Details of the registered owner (II)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:						Date of creation / acquisition of significant beneficial interest# (IV)	
	Name	Nationality	Name	Nationality	Whether by virtue of:							
					Shares	Voting Rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence			
1	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.